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Application N . 09/049,297  
Attorney Docket No.: 98-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Here Application of: WALKER et al.

Serial No.: 09/049,297

Filing Date: March 27, 1998

For: SYSTEM AND METHOD FOR  
TRACKING AND ESTABLISHING A  
PROGRESSIVE DISCOUNT BASED  
UPON A CUSTOMER'S VISITS TO A  
RETAIL ESTABLISHMENT

) Examiner: John W. Hayes  
) Group Art Unit: 2161  
) Attorney Docket No: 98-007  
) Customer No.: 22927  
) Notification of Fee Status Change Pursuant  
to 37 CFR § 1.27



Assistant Commissioner for Patents  
Washington, D.C. 20231

**ASSERTION OF SMALL ENTITY STATUS**

Dear Sir:

The assignee of the above-identified application no longer claims large entity status. This notification is made pursuant to 37 CFR §1.27.

If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7292. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

Respectfully submitted,

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June 20, 2002  
Date

JUN 24 2002

## PART B - FEE(S) TRANSMITTAL

Complete and mail this form, together with applicable fee(s), to:

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22927 7590 03/20/2002

**WALKER DIGITAL**  
**FIVE HIGH RIDGE PARK**  
**STAMFORD, CT 06905**

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|                           |                    |
|---------------------------|--------------------|
| <b>Michael D. Brinton</b> | (Depositor's name) |
| <i>Michael D. Brinton</i> | (Signature)        |
| <b>June 20, 2002</b>      | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/049,297      | 03/27/1998  | JAY S. WALKER        | WD2-98-007          | 5338             |

**TITLE OF INVENTION: SYSTEM AND METHOD FOR TRACKING AND ESTABLISHING A PROGRESSIVE DISCOUNT BASED UPON A CUSTOMER'S VISITS TO A RETAIL ESTABLISHMENT**

| TOTAL CLAIMS | APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|--------------|----------------|--------------|-----------|-----------------|------------------|------------|
| 11           | nonprovisional | YES          | \$640     | \$0             | \$640            | 06/20/2002 |

| EXAMINER      | ART UNIT | CLASS-SUBCLASS |
|---------------|----------|----------------|
| HAYES, JOHN W | 2161     | 705-014000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Michael D. Downs

2 \_\_\_\_\_  
3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

## (A) NAME OF ASSIGNEE

**WALKER DIGITAL, LLC**

## (B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Stamford, Connecticut, U.S.A.**

Please check the appropriate assignee category or categories (will not be printed on the patent)  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

Issue Fee

A check in the amount of the fee(s) is enclosed.

Publication Fee

Payment by credit card. Form PTO-2038 is attached.

Advance Order - # of Copies 10 (Ten)

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(Authorized Signature) Michael D. Downs (Date) 06/20/02

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06/28/2002 MAHMED2 00000042 500271 09049297

01 FC:242 640.00 CH  
02 FC:561 30.00 CH